

ERIC W. SWANIS, ESQ.  
Nevada Bar No. 6840  
GREENBERG TRAURIG, LLP  
10845 Griffith Peak Drive, Suite 600  
Las Vegas, Nevada 89135  
Telephone: (702) 792-3773  
Facsimile: (702) 792-9002  
Email: [swanise@gtlaw.com](mailto:swanise@gtlaw.com)

CASEY SHPALL, ESQ.  
*Admitted Pro Hac Vice*  
GREGORY R. TAN, ESQ.  
*Admitted Pro Hac Vice*  
GREENBERG TRAURIG, LLP  
1144 15th Street, Suite 3300  
Denver, Colorado 80202  
Telephone: 303) 572-6500  
Email: [shpallc@gtlaw.com](mailto:shpallc@gtlaw.com)  
[tangr@gtlaw.com](mailto:tangr@gtlaw.com)

*Counsel for Defendants*

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEVADA**

CRISS L. ROGERS,

Plaintiff,

v.

C. R. BARD, INC.; BARD PERIPHERAL  
VASCULAR, INCORPORATED,

Defendants.

CASE NO. 2:19-cv-01581-APG-NJK

**PROPOSED STIPULATED  
PROTECTIVE ORDER**

The parties, through their respective counsel, stipulate to the entry of a protective order to govern the dissemination of documents, materials, and other information, including the substance and content thereof, designated by any party as confidential and produced by any party in support of motions, in response to written discovery, or during any formal or informal discovery in this litigation subject to the terms as set forth below.

///

WHEREAS, the parties to this action, through their respective counsel, have agreed that a protective order preserving the confidentiality of certain documents and information should be entered by the Court.

THEREFORE, IT IS ORDERED as follows:

**I. Definitions**

1. **Confidential Information.** “Confidential Information” is defined herein as any information that constitutes, reflects, discloses, or contains: (1) a “trade secret or other confidential research, development, or commercial information” that is suitable for protection under Federal Rule of Civil Procedure 26(c)(1)(G); ; and (2) information that may be protected from disclosure under a party’s constitutional right of privacy such as confidential and private psychiatric, psychological, medical condition and/or employment information.

2. **Trade Secret.** A party, in designating information “Confidential” because it contains a “Trade Secret,” shall designate only information that meets the definition of trade secret contained in 18 U.S.C. § 1839:

the term “trade secret” means all forms and types of financial, business, scientific, technical, economic, or engineering information, including patterns, plans, compilations, program devices, formulas, designs, prototypes, methods, techniques, processes, procedures, programs, or codes, whether tangible or intangible, and whether or how stored, compiled, or memorialized physically, electronically, graphically, photographically, or in writing if –

(A) the owner thereof has taken reasonable measures to keep such information secret; and

(B) the information derives independent economic value, actual or potential, from not being generally known to, and not being readily ascertainable through proper means by, the public.

3. **This Action.** “This Action” means *Criss L. Rogers v. C. R. Bard, Inc., et al.*, Case No. 2:19-cv-01581-APG-NJK, pending in the United States District Court District of Nevada.

///

///

1 **II. Information Within the Scope of the Protective Order**

2 4. This Protective Order shall govern all hard copy and electronic materials, the  
3 information contained therein, and all other information produced or disclosed during This Action,  
4 including all copies, excerpts, summaries, or compilations thereof, whether revealed in a document,  
5 deposition, other testimony, discovery response or otherwise, by any party to This Action or its  
6 representatives (the “Supplying Party”) to any other party or parties to This Action or their  
7 representatives (the “Receiving Party”), whether provided voluntarily, pursuant to formal discovery  
8 procedures, or otherwise. The Parties agree that confidentiality of materials at trial will be  
9 determined by the Court after a separate briefing and/or argument process.

10 5. The scope of confidentiality protections afforded under this Protective Order does not  
11 include any trial exhibits or trial testimony entered into evidence during the case known as *Phillips*  
12 *v. C. R. Bard, Inc., et al.*, No. 3:12-cv-00344-RCJ-WGC (D. Nev. June 1, 2015), as to which that  
13 Court entered an Order denying Bard’s motion to seal trial exhibits and trial transcripts. In addition,  
14 this Protective Order does not apply to any document that was admitted into evidence during the  
15 three MDL Bard IVC filter bellwether cases *In Re: Bard IVC Filters Products Liability Litigation*,  
16 *MDL 2641*, listed in Exhibit 2 of the MDL Court’s Suggestion of Remand and Transfer Order, Dkt.  
17 No. 3. To the extent only a portion of a document was admitted, only that portion of the document  
18 that was admitted shall not be subject to protection.

19 Notwithstanding the foregoing, this Protective Order does not address or alter whether or not  
20 Defendants may argue that non-confidential documents should still be entitled to protection under  
21 the work-product doctrine and/or the attorney-client communication privilege.

22 **III. Designating Information As “Confidential” Pursuant to This Protective Order**

23 6. **Documents.** Any Supplying Party producing documents that contain information that  
24 meets the definition of Confidential Information as provided in Paragraph 1 and 2 herein, may  
25 designate the contents of the documents as “Confidential” prior to or at the time of production by  
26 placing the following designation on the documents: “CONFIDENTIAL – Subject to Protective  
27 Order.” Where a document consists of more than one page, each page of the document shall be  
28 designated as such. Any document or information for which it is impracticable or impossible to affix

1 such a legend may be designated by written notice to that effect with a reasonable description of the  
2 material in question including a BATES number, where applicable.

3 7. If a Supplying Party makes documents or information available for inspection, rather  
4 than delivering copies to another party, no “Confidential” designation is required in advance of the  
5 initial inspection. For the purposes of initial inspection only, the documents shall be considered  
6 “CONFIDENTIAL.” Upon production of the inspected documents, the Supplying Party shall  
7 designate which of the produced or copied documents and materials are or contain Confidential  
8 Information pursuant to Paragraph 6 of this Order.

9 8. **Written Discovery.** If responses to written discovery contain Confidential  
10 Information as defined in Paragraph 1 and 2 of this Protective Order, the Responding Party may  
11 designate the responsive documents and information, as set forth in Paragraph 6, with specific  
12 indication of the page and line references of the material that is “Confidential” under the terms of  
13 this Protective Order.

14 9. **Depositions.** The parties may designate as Confidential any deposition transcript, or  
15 portions thereof, in This Action that meets the definition of Confidential Information provided in  
16 Paragraphs 1 and 2 of this Protective Order. Counsel for the designating party shall advise the court  
17 reporter and the parties on the record during the deposition or by letter no later than thirty (30)  
18 calendar days after the court reporter provides the parties with the final deposition transcript. If any  
19 portion or all of a deposition transcript is designated as Confidential Information, the court reporter  
20 shall label the cover page of the original and one copy of the transcript to state that Confidential  
21 Information is contained therein, and shall label as “Confidential” each page of the transcript and/or  
22 exhibits to the deposition transcript that constitute “Confidential Information.” Confidential  
23 designations of transcripts or portions thereof, apply to audio, video, or other recordings of the  
24 testimony. The court reporter shall clearly mark any transcript or portion thereof prior to the  
25 expiration of the 30-day period as “DO NOT DISCLOSE – SUBJECT TO FURTHER  
26 CONFIDENTIALITY REVIEW.” Deposition transcripts or portions thereof will be treated as  
27 Confidential Information until expiration of the 30-day period. If any party does not designate the  
28 transcript as “Confidential” either at the time of the deposition or within the 30-day period defined

above, no portion of the entire transcript will be deemed “Confidential” and the “DO NOT DISCLOSE- SUBJECT TO FURTHER CONFIDENTIALITY REVIEW” legend shall be removed. The 30-day period may not be extended without mutual agreement of the parties.

10. **Confidential Information Produced by Third Parties.** A party in This Action may designate as Confidential any document, information, or testimony produced or supplied by any person or entity not a party to This Action, that constitutes or meets the definition of Confidential Information as defined in Paragraphs 1 and 2 of this Protective Order. The party claiming confidentiality shall designate the information as such within thirty (30) days of its receipt of such information. Any party receiving information from a third party shall treat such information as Confidential Information during this thirty (30) day period while all parties have an opportunity to review the information and to determine whether it should be designated as confidential. Any party designating third party information as Confidential Information shall have the same rights, duties, and obligations, as a Supplying Party under this Protective Order.

11. **Publicly Available Information.** The confidentiality restrictions and confidentiality obligations set forth herein shall not apply to information that is at the time of production or disclosure, or subsequently becomes, through no wrongful act on the part of the Receiving Party, generally available to the public through publication or otherwise. This includes information published during public hearings and trials, if the Supplying Party does not move to seal or appeal any order denying such motion to seal within the time permitted under the applicable rules. Notwithstanding the foregoing, this Protective Order does not address or alter whether or not Defendants may argue that non-confidential documents should still be entitled to protection under the work-product doctrine and/or the attorney-client communication privilege.

#### **IV. Limitations on Use of Confidential Information**

12. All Confidential Information shall be used for the purpose of this lawsuit only, and except as permitted by this Order, the parties and their respective attorneys, as well as experts or consultants, shall not give, show, or otherwise divulge or disclose the Confidential Information, or any copies, prints, negatives or summaries thereof to any person or entity. Notwithstanding the foregoing provisions of this paragraph, nothing in this Order shall prevent the use of any of the

documents or electronically stored information (“ESI”) produced pursuant to this Protective Order in other actions brought by the plaintiff’s counsel, so long as a comparable protective order is entered in those other actions.

13. Confidential Information pursuant to this Protective Order shall be treated by the parties, their counsel, and any other signatory to this Protective Order as being confidential and private. Any copy of Confidential Information shall have the same status as the original. The disclosure and use of Confidential Information shall be confined to the permissible disclosures and uses set forth in this Protective Order, and no one shall disclose or use Confidential Information in a manner inconsistent with the terms and the intent of this Protective Order.

14. Confidential Information may be disclosed only to the following persons and shall be used solely for the litigation of This Action and may not be disclosed to anyone not authorized under this paragraph:

- a. Parties, their representatives, in-house counsel and regular employees who are actively engaged in, or actively overseeing This Action;
- b. Counsel of record, their associated attorneys, and support staff, including paralegal and secretarial personnel who are working on This Action;
- c. Experts and consultants (including their employees/contractors) who are consulted or retained by a party to assist in the litigation of This Action;
- d. Third-party contractors and their employees who are consulted or retained by one or more parties to provide litigation-support or copy services in connection with the litigation of This Action
- e. Witnesses or prospective witnesses in This Action;
- f. Court reporters, videographers, and other persons involved in recording deposition testimony in This Action;
- g. The Court and its personnel, including any mediators and/or special masters appointed by the Court, or if an appeal, the court with appellate jurisdiction; and
- h. Jurors in This Action.

1           15. Prior to the disclosure of any Confidential Information to any person identified in  
2 Paragraph 14 above (except the Court and its personnel and jurors in This Action), the disclosing  
3 party will provide each potential recipient of Confidential Information with a copy of this Protective  
4 Order, which said recipient shall read. Upon reading this Protective Order, such person shall sign an  
5 Agreement to Maintain Confidentiality (“Confidentiality Agreement”), attached to this Order as  
6 **Exhibit A**, acknowledging that he or she has read this Protective Order and shall abide by its terms.  
7 Notwithstanding the foregoing provision, Confidential Information may be disclosed to a witness  
8 who will not sign an Confidentiality Agreement in a deposition at which the party who has designated  
9 the Confidential Information is represented or has been given notice that Confidential Information  
10 produced by the party may be used. These Confidentiality Agreements are strictly confidential and  
11 shall be maintained by counsel for each party and only with good cause shown and separate court  
12 order will the Confidentiality Agreements be disclosed to the opposing side. Persons who come into  
13 contact with Confidential Information for clerical or administrative purposes, and who do not retain  
14 copies or extracts thereof, are not required to execute Confidentiality Agreements but must comply  
15 with the terms of this Protective Order.

16           16. All persons receiving or given access to Confidential Information in accordance with  
17 the terms of this Order consent to the continuing jurisdiction of this Court for the purposes of  
18 enforcing this Order and remedying any violations thereof.

19           17. Confidential Information shall not be placed or deposited in any sort of data bank that  
20 is made available for indiscriminate or general circulation to lawyers, litigants, consultants, expert  
21 witnesses or any other persons not working on This Action and not signatories to this Protective  
22 Order. This paragraph and the other provisions of this Order shall not apply to materials which, if  
23 challenged by any party, the Court rules are not entitled to protection. This paragraph does not limit  
24 or restrict in any way the manner in which a party may store and make Confidential Information  
25 available to the attorneys, support staff, experts, and any other persons or entities working on This  
26 Action, provided the general terms of this Order are followed.

27           18. The parties and their counsel as well as their technical consultants and experts shall  
28 also not sell, offer, advertise, publicize nor provide under any condition any Confidential Information

1 produced by any other party to any competitor of any defendant or to any employee or any competitor  
2 (irrespective of whether they are retained as an expert by a party in This Action).

3 19. In the event that either of the parties is served by a non-party with a subpoena for  
4 Confidential Information that was originally provided and claimed as Confidential by another party,  
5 the Receiving Party will give notice to the Supplying Party, where reasonably possible, no less than  
6 ten (10) business days prior to disclosure by providing a copy of the subpoena, to allow a reasonable  
7 opportunity for the Supplying Party to object to such production before any production takes place.

8 20. If a Receiving Party learns of any unauthorized disclosure of Confidential  
9 Information, it shall take reasonable efforts to immediately (a) inform the Supplying Party in writing  
10 of such disclosure, including to whom the material was disclosed; (b) make a reasonable effort to  
11 retrieve all copies of the Confidential Information only to the extent the Receiving Party has control  
12 over the unauthorized disclosed documents; (c) and to the extent the Receiving party has control over  
13 the person or persons to whom unauthorized disclosures were made, inform the persons of the terms  
14 of this Protective Order.

15 **V. Changes in and Objections to Designation of Information**

16 21. **“Clawback” of Irrelevant Confidential Information.** If a Supplying Party  
17 produces any document containing Confidential Information that they identify to the Receiving Party  
18 as irrelevant, the Supplying Party may notify the Receiving Party of the Irrelevant Confidential  
19 Information in writing. If a portion of the document contains information relevant to This Action,  
20 the Supplying Party shall also supply the Receiving Party with a new copy of the document which  
21 shall be substituted for the earlier produced document. Upon receipt of the substitute document, the  
22 Supplying Party shall promptly return or destroy the earlier produced document. Upon receipt of the  
23 Supplying Party’s written notice, the Receiving Party shall, within a reasonable time, not exceed  
24 twenty (20) days, (a) treat such material in accordance with this Order; (b) take reasonable steps to  
25 notify any person to whom the Receiving Party disclosed such information of the new confidential  
26 designation; (c) take reasonable steps to procure the return of all copies of such material from any  
27 such persons who are not entitled to receipt of Confidential Information under the terms of this

28 ///



1 Protective Order; (d) request in writing that such person procure the return of such information from  
2 any person to whom such person may have disclosed the information.

3       **22. Challenges to Designation of Confidential Information.** A Receiving Party may  
4 challenge a Supplying Party's designation or re-designation by notifying the Supplying Party in  
5 writing that the confidentiality designation does not meet the definition of "Confidential  
6 Information." The designation by any party of Confidential Information raises no presumption that  
7 the information or documents are entitled under the law to protection. If any party contends, in  
8 writing, that any document, material, ESI, or other thing has been erroneously designated as  
9 Confidential Information, the party who designated the information as Confidential Information shall  
10 initiate a meet and confer within ten (10) days with the opposing party and the parties shall make a  
11 good faith effort to resolve issues relating to such designations. After the meet and confer, the party  
12 who designated the information as Confidential Information shall file a motion with the Court within  
13 thirty (30) days of receiving such written notification establishing that the information is entitled to  
14 protection as Confidential Information under the law. If the designating party fails to timely file such  
15 a motion within the allotted thirty (30) day period, the document, ESI, material, or other thing, which  
16 is designated as Confidential Information, shall forthwith be produced and be deemed not to be  
17 Confidential Information. Any information or thing being challenged as inappropriately designated  
18 as Confidential Information shall nonetheless be treated as Confidential Information unless and until  
19 either (a) the designating party gives written permission to do otherwise, (b) the designating party  
20 fails to file a motion establishing that the challenged material is subject to protection as Confidential  
21 Information under the law within the thirty (30) day time period, or (c) the Court rules that the  
22 document, material, ESI, or other thing shall not be treated as confidential. Should the Court rule that  
23 any item designated as Confidential Information is not entitled to protection under the law, the  
24 designating party shall, within fourteen (14) days after all appeals are exhausted, provide the party  
25 challenging the confidential designation with copies of each item free of any language indicating that  
26 the item is subject to a Protective Order. A Receiving Party shall only challenge documents under  
27 this Paragraph that they have a good faith basis for using in This Action.

28 ///

1           23.     Nothing in this Protective Order shall be deemed to shift the burden of proof to the  
2 party challenging the confidential designation with regard to whether the materials produced pursuant  
3 to this Protective Order are entitled to protection under the law as Confidential Information.

4 **VI.     Filing Under Seal**

5           See order issued concurrently herewith.  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

**VII. Miscellaneous Provisions**

26. **Amending or Modifying Protective Order.** By written agreement of the parties, or upon motion and order of the Court, the terms of this Protective Order may be amended or modified. This Protective Order shall continue in force until amended or modified by consent or agreement of the parties or by order of the Court, and shall survive any final judgment or settlement in This Action, including but not limited to any final adjudication of any appeals petitions for extraordinary writs, unless otherwise vacated or modified by the Court. The Court shall have continuing jurisdiction over the terms and provisions of this Protective Order.

27. **After Final Adjudication.** Upon written demand by the Supplying Party made within thirty (30) days after final adjudication of This Action, including but not limited to, any final adjudication of any appeals and petitions for extraordinary writs, the Receiving Party shall assemble and return all Confidential Information to the Supplying Party or, alternatively, shall destroy all such material at the Supplying Party's expense. The Receiving Party shall verify the complete destruction or return to the Supplying Party all such Confidential Information by executing and mailing to counsel for the Supplying Party an Acknowledgment in the form attached hereto as **Exhibit B**. A copy of each such executed Acknowledgment shall be maintained by counsel for the Receiving Party and counsel for the Supplying Party. Notwithstanding the foregoing provisions of this paragraph, the Receiving Party may maintain its privileged communications, work product, Confidentiality Agreements and Acknowledgments pursuant to the Protective Order, materials required to be retained pursuant to applicable law, and all court-filed documents even though they contain Confidential Information, but such materials shall remain subject to the terms of this Protective Order. This provision may not be invoked while the plaintiff's attorneys of record have active

///

1 pending cases relating to IVC Filters manufactured by C. R. Bard, Inc. and/or Bard Peripheral  
2 Vascular, Inc.

3 28. The terms of this Protective Order do not preclude, limit, restrict, or otherwise apply  
4 to the use of Confidential Information at trial. The use of Confidential Information during trial will  
5 be addressed in a later agreement between the parties, or, if they cannot reach an agreement, by  
6 further order of the Court.

7 29. Nothing in this Order shall be deemed a waiver of any parties' right to oppose any  
8 motion by any other party for a protective order or to oppose any objection to the disclosure of any  
9 information or documents on any legal grounds, including, but not limited to, the grounds that the  
10 party seeking the protective order has neither timely nor adequately objected to disclosure of such  
11 documents and information or moved for a protective order.

12 30. This Protective Order does not relieve any party of its obligations to respond to  
13 otherwise proper discovery in This Action. Nothing contained in this Order, or any action taken  
14 pursuant to it shall waive or impair any party's right to assert claims of privilege or work product  
15 protection, or the right of any party to object to the relevancy of admissibility of documents or  
16 information sought or produced into assert objections to requested discovery on grounds other than  
17 Confidential Information. This Protective Order also shall not affect or create any presumption with  
18 respect to the right of any party from seeking or obtaining additional protection with respect to any  
19 documents, materials, or information where allowed by law.

20 31. **Electronically Stored Information ("ESI") Document Production Review**  
21 **Process.** To expedite document production of ESI from Defendants, the parties, through their  
22 respective counsel, have agreed to a primarily "no-eyes-on" document production as to relevancy  
23 while still performing a privilege review for ESI which Defendants will be producing subsequent to  
24 this Protective Order. The Parties recognize that a substantial portion of the documents that will be  
25 produced in This Action were initially produced in *In re: Bard IVC Filters Products Liability*  
26 *Litigation*, MDL No. 2641, in the United States District Court District of Arizona ("IVC Filter  
27 MDL"), pursuant to a "no-eyes-on" document production as to relevancy in order to expedite  
28 production in the MDL. As a result, the Receiving Party agrees to make a good faith effort to apply

1 the terms of this Protective Order consistent with that production. The Parties further agree that any  
2 additional document productions in This Action may be made pursuant to this “no-eyes-on”  
3 review/ESI production process (the “Process”).

4 32. The Parties and their counsel agree to the entry of the Protective Order in This Action,  
5 and to the Process, which shall govern the production of documents, materials, and other information,  
6 including the substance and content thereof; and use of any such documents, materials, and other  
7 information during discovery, in conjunction with court filings or hearings, during any other pre-trial  
8 activity, and during trial. The Parties and their counsel have also agreed that all ESI produced by  
9 Defendants pursuant to the Process will be subject to the following terms:

- 10 a. At the time of production, Defendants will identify the documents or ESI as  
11 being produced pursuant to the Process and subject to the restrictions of this  
12 Paragraph (the “Process ESI”).
- 13 b. Nothing in this Protective Order shall prevent the use of any Process ESI in  
14 other actions brought by the Plaintiff’s counsel, so long as a substantially  
15 comparable protective order is entered in those other actions.
- 16 c. Prior to using any document or ESI from the Process ESI as part of a filing, at  
17 a deposition, or at a trial or hearing in this matter, Plaintiffs shall make a good  
18 faith effort to identify whether the document or ESI contains any information  
19 that is subject to redaction under Paragraphs 33-34 (“Redaction  
20 Requirements”) of this Protective Order and corresponding **Exhibit C**  
21 (“Redaction Protocol”) and to redact any such information in accordance with  
22 this Order and redaction protocol.
- 23 d. Defendants shall independently have the right to identify any documents or  
24 ESI from the Process ESI, including documents identified by Plaintiffs  
25 pursuant to Subparagraph 32.c. above, as subject to the Redaction  
26 Requirements and Redaction Protocol and to require the redaction of the  
27 information set forth therein; in that event, Defendants shall provide Plaintiffs  
28 with a redacted version of the subject documents or ESI with the same

production Bates number(s) and Plaintiffs shall destroy any unredacted copies or versions of the document that they possess.

e. Defendants shall have the right to identify any document, file, or other form of ESI produced pursuant to the Process as both being irrelevant to the matters in dispute in this case and containing trade secret or other confidential information and to “claw back” such ESI or documents from the production. After Plaintiffs use a document or ESI from the Process ESI as part of a filing, at a deposition, or at a trial or hearing in this matter, Defendants shall have thirty (30) days to seek claw back of the particular document pursuant to this Paragraph; this latter requirement does not apply to Process ESI that has not been used by Plaintiffs as part of a filing, at a deposition, or at a trial or hearing in this matter, which may be clawed back at any time.

f. Plaintiffs shall have the right to challenge any designation or claw back by Defendants under Subparagraphs 32.d. or 32.e. above by submission of the ESI or document to the Court under seal, and any filings that refer to the protected substance of the ESI or document must, likewise, be made under seal.

g. i. The production in This Action, use in This Action, or any other disclosure in This Action of the substance or content of documents, materials, or other information that is protected by the attorney-client privilege, work-product protection, or any other privilege or protection shall not amount to waiver of the privilege and/or protection in This Action, or in any other federal or state proceeding, and Plaintiff and Plaintiff’s counsel stipulate that they will not argue in any other action or proceeding, whether in federal or state court, that the production, use, or other disclosure of such documents, materials, or other information in This action constitutes waiver of the privilege or protection.

///

1                   ii.     If the Receiving Party identifies a document, material, or other  
2                   information that reasonably appears to be protected by any privilege or other  
3                   protection, they shall promptly notify the Supplying Party in writing. If the  
4                   Supplying Party determines that the document, material, or other information  
5                   is privileged or otherwise protected, it shall make such an assertion in writing  
6                   within 30 days of receipt of notification. After being notified, the Receiving  
7                   Party must promptly return, sequester, or destroy the specified information  
8                   and any copies it has; must not use or disclose the information until the claim  
9                   is resolved; must take reasonable steps to retrieve the information if the party  
10                  disclosed it before being notified; and may promptly present the information  
11                  to the court under seal for a determination of the claim. Failure to assert the  
12                  privilege or protection within 30 days of receipt of notification shall amount  
13                  to waiver of any privilege or protection only of the document, material, or  
14                  other information identified in the notification.

15                Unless waived under subsection (ii) above, at any time, a party that produces any document,  
16                material, or other information that it believes to be protected by the attorney-client privilege, work-  
17                product protection, or any other privilege or protection may assert the privilege or protection in  
18                writing. After being notified, the Receiving Party must promptly return, sequester, or destroy the  
19                specified information and any copies it has; must not use or disclose the information until the claim  
20                is resolved; must take reasonable steps to retrieve the information if the party disclosed it before  
21                being notified; and may promptly present the information to the court under seal for a determination  
22                of the claim.

23                h.     To the extent that the documents or ESI produced pursuant to the Process  
24                contain any adverse event reporter names or information of a patient who is  
25                not a party to this litigation and which would otherwise be redacted in  
26                accordance with the Redaction Requirements of this Protective Order,  
27                Plaintiffs and their counsel and agents shall not contact the patient or reporter  
28                of an adverse event unless and until the parties go through the processes

outlined in Subparagraphs 32.e. and 32.g. above with respect to redaction of information and this Court determines the information is not subject to redaction.

33. **Redaction of Adverse Event Reporter, HIPAA, and Other Information Pursuant to Exhibit C.** Defendants have produced in the IVC Filter MDL and may produce in This Action additional and updated adverse event reports and complaint files maintained pursuant to 21 U.S.C. § 360i, 21 C.F.R. § 803.18 and 21 C.F.R. § 820.1-.250, as well as documents relating to those adverse events and complaint files. To the extent that Defendants are able to identify whether these reports and complaint files relate to the Plaintiff at the time they are produced in This Action, the parties and their respective counsel have consented to and agreed that the Defendants shall refrain from redacting the following identifiable information, as defined in 21 C.F.R. § 20.63(f), in the below circumstance:

- a. Identifiable information of the Plaintiff in this litigation shall not be redacted, pursuant to 21 C.F.R. § 20.63(f)(1)(iii).

Otherwise, in accordance with 21 C.F.R. § 20.63(f) and other applicable laws, statutes, and regulations, the Defendants shall only redact such information as is set forth in the agreed Redaction Protocol attached to this Protective Order as **Exhibit C**, and Plaintiffs shall have the right to object to any redactions made.

The parties acknowledge that this Stipulated Order is intended to and does satisfy the written consent requirement of the federal regulations.

- a. Any documents containing any reporter or personal identifying information shall be designated and branded as confidential in accordance with this Order. To the extent that any adverse event reporter information or patient information belonging to any individual or entity who is not a party to this litigation is disclosed, because such information is not required to be redacted in accordance with this Protective Order (see Paragraph 29-30), the Receiving Party and their counsel and agents shall not contact the patient or reporter involved in an adverse event.



b. Any additional documents or ESI Defendants produce in This Action will be produced subject to this Order and marked Confidential. Thus, any documents or ESI containing any adverse event reporter, personal identifying information, or any other information protected from disclosure as described in **Exhibit C** shall continue to be treated as confidential in accordance with this Order.

34. If the Receiving Party intends to file with the Court, use at a deposition, or otherwise disclose a document to a nonparty (consistent with the terms of this Order), the Receiving Party shall make a good faith effort to redact that document consistent with **Exhibit C** prior to such disclosure. After such filing or other use, the Supplying Party shall have fifteen (15) days to provide the Receiving Party with an amended copy of the document with any additional redactions it deems necessary pursuant to **Exhibit C**. If an amended copy is provided, the Receiving Party will ensure that the original copy is replaced with the amended copy in the court filing or deposition.

35. Each party shall retain all rights and remedies available to it under the law for the enforcement of this Protective Order against anyone who violates it.

36. Nothing in this Protective Order shall be construed to prevent this Court from disclosing any facts the Court relies upon in making any findings or issuing any ruling, order, judgment, or decree.

Within thirty (30) days of any information that has been claimed as Confidential Information being de-designated or made publicly available, the Supplying Party shall provide notice of the Confidential Information that has been de-designated and/or made publicly available. Such notice shall be made by identifying bates numbers or by other means such as identifying categories of information where the identification of bates numbers are not possible or not feasible. Publicly available includes documents that have been filed with any court or entered as an exhibit during trial not under seal, provided, however that the Supplying Party is not required to provide notice of de-designation with regard to such documents until any motion or request to seal those documents is denied. This paragraph only applies to the extent that the Supplying Party knew or should have

///

known that the information claimed as Confidential Information was de-designated or made publicly available.

Respectfully submitted this 24<sup>th</sup> day of October 2019.

FLEMING NOLEN & JEZ, LLP

GREENBERG TRAURIG, LLP

By: /s/ Rand P. Nolen

By: /s/ Eric W. Swanis

RAND P. NOLEN, ESQ.  
2800 Post Oak Boulevard  
Suite 4000  
Houston, Texas 77056-6109  
[rand\\_nolen@flaming-law.com](mailto:rand_nolen@flaming-law.com)

ERIC W. SWANIS, ESQ.  
Nevada Bar No. 6840  
10845 Griffith Peak Drive, Suite 600  
Las Vegas, Nevada 89135  
[swanis@gtlaw.com](mailto:swanis@gtlaw.com)

WETHERALL GROUP, LTD.

CASEY SHPALL, ESQ.

By: /s/ Peter C. Wetherall, Esq.

*Admitted Pro Hac Vice*

PETER C. WETHERALL, ESQ.  
Nevada Bar No. 4414  
9345 W. Sunset Road, Suite 100  
Las Vegas, Nevada 89148  
[pwetherall@wetherallgroup.com](mailto:pwetherall@wetherallgroup.com)

GREGORY R. TAN, ESQ.

*Admitted Pro Hac Vice*  
1144 15th Street, Suite 3300  
Denver, Colorado 80202  
[shpallc@gtlaw.com](mailto:shpallc@gtlaw.com)  
[tangr@gtlaw.com](mailto:tangr@gtlaw.com)

*Counsel for Plaintiff*

*Counsel for Defendants*

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEVADA

CRISS L. ROGERS,

Plaintiff,

v.

C. R. BARD, INC.; BARD PERIPHERAL  
VASCULAR, INCORPORATED,

Defendants.

CASE NO. 2:19-cv-01581-APG-NJK

**ORDER**

Based upon the Stipulated Protective Order filed concurrently herewith and **GOOD CAUSE APPEARING** therefore,

**IT IS HEREBY ORDERED** that the meanings and provisions contained in the Stipulated Protective Order concerning the use and/or disclosure of Confidential Information shall control all documents produced pursuant to this Order and shall be effective as to all parties for the purposes of this litigation.

**IT IS SO ORDERED.**

Dated this 25 of October, 2019.

  
\_\_\_\_\_  
NANCY J. KOPPE  
UNITED STATES MAGISTRATE JUDGE

---

## EXHIBIT A

---

**EXHIBIT A**

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEVADA**

CRISS L. ROGERS,

Plaintiff,

v.

C. R. BARD, INC.; BARD PERIPHERAL  
VASCULAR, INCORPORATED,

Defendants.

CASE NO. 2:19-cv-01581-APG-NJK

**AGREEMENT TO MAINTAIN  
CONFIDENTIALITY**

I, \_\_\_\_\_ (Name), have been given and have read a copy of the Protective Order, dated \_\_\_\_\_, 20\_\_ in the case of *Criss L. Rogers v. C. R. Bard, Inc., et al.*, Case No. 2:19-cv-01581-APG-NJK, pending in the United States District Court District of Nevada.

I understand and will strictly adhere to the contents of said Order. I understand that produced material disclosed to me is subject to the Order of this Court and that I am prohibited from copying, disclosing or otherwise using such material except as provided by said court Order. I understand that my unauthorized disclosure of any "Confidential Information" or contact of a patient or reporter of an adverse event may constitute contempt of court and I agree to be personally subject to the jurisdiction of this Court for the purpose of enforcing my obligations under this Agreement, the Order, and any contempt proceeding that may be instituted for my violation of the terms of this Agreement to Maintain Confidentiality and the Protective Order. I also understand that my signature on this "Agreement to Maintain Confidentiality," indicating my agreement to be bound by the terms of this Protective Order, is required before I may be allowed to receive and review any produced document and materials that are designated as "Confidential Information."

Date: \_\_\_\_\_

Print Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

---

## EXHIBIT B

---

**EXHIBIT B**

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF NEVADA**

CRISS L. ROGERS,

Plaintiff,

v.

C. R. BARD, INC.; BARD PERIPHERAL  
VASCULAR, INCORPORATED,

Defendants.

CASE NO. 2:19-cv-01581- APG-NJK

**ACKNOWLEDGMENT OF  
DESTRUCTION OR RETURN OF  
CONFIDENTIAL INFORMATION**

I, \_\_\_\_\_ (Name), am over the age of 18 years and am a resident of  
\_\_\_\_\_ County, \_\_\_\_\_. I make this Declaration based upon my personal  
knowledge, and I am competent to testify to the matters stated herein.

I have requested and received from \_\_\_\_\_ all of the "Confidential Information"  
contained in materials, transcripts, and other things within the scope of this Protective Order and  
produced in this case, *Criss L. Rogers v. C. R. Bard, Inc., et al.*, Case No. 2:19-cv-01581-APG-NJK,  
pending in the United States District Court District of Nevada.

I have either destroyed or have attached hereto all of the "Confidential Information"  
contained in the materials, transcripts, and other things within the scope of this Protective Order  
including those materials which were returned to me by the experts and consultants mentioned above  
in accordance with the preceding paragraph, and as described in the Protective Order related to this  
matter. Notwithstanding the foregoing provisions of this paragraph, the Receiving Party may  
maintain its privileged communications, work product, Confidentiality Agreements and  
Acknowledgments pursuant to the Protective Order, materials required to be retained pursuant to the  
applicable law, and all court-filed documents even though they contain "Confidential Information,"  
but such materials shall remain subject to the terms of this Protective Order.

///

///

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28

I declare under penalty of perjury under the laws of \_\_\_\_\_ that  
the foregoing is true and correct.

Date: \_\_\_\_\_

Print Signature: \_\_\_\_\_

Signature: \_\_\_\_\_



---

## EXHIBIT C

---

**EXHIBIT C**  
**Redaction Protocol**

- 1) Redactions under the Health Insurance Portability Act of 1996 (HIPAA) and under 21 CFR 20.63, redact the following:

**1) Redact the following for the patient, relatives, household members, or employers:**

(1) Names

- Names of the individuals associated with the corresponding health information (i.e., the subjects of the records) and of their relatives, employers, and household members are redacted. Do not redact doctors, nurses, etc. for HIPAA.

(2) County, City and Street address and last two digits of zip code

- DO NOT REDACT COUNTRY, STATE, OR FIRST THREE DIGITS OF ZIP CODE.
- Washington, DC is considered a state for HIPAA redaction purposes.

(3) Birthdates and dates of death

- DO NOT REDACT THE YEAR

(4) Telephone numbers

(5) Fax numbers

(6) Email addresses

(7) Social security numbers (even if it is only a portion, or the full number but most is X'd out – redact it all)

(8) Medical record numbers, Accession Numbers, EMR (Electronic Medical Record Number), PHR (Personal Health Record), PMR (Personal Medical Record), Clinical Trial Record Numbers

(9) Health plan beneficiary numbers, Group Policy IDs, Policy Numbers, etc. (but not the name or address of insurance companies)

(10) Account numbers

(11) Certificate/license numbers

(12) Vehicle identifiers (license plate numbers, VINs, etc.)

(13) Serial numbers of devices

(14) URLs, folder paths, file locations (if they include anything like the patient ID, or the patient's employer)

(15) IP Addresses

(16) Biometric identifiers such as fingerprints, patient chart barcodes

(17) Full face images (not side profiles)

(18) Any other unique identifier

- This can include anything that is unique enough to identify the person "President of the United States", "current Provost of the University of Tennessee", "first man to walk on the moon", "first heart transplant recipient"

**NOT REDACTED:**

- Bard Complaint IDs
- Information about a person who has been dead 50 years or more
- Autopsy reports

---

**2) Redactions of Reporter Information - 21 CFR 20.63**

The names, address (including city, state, and country), of any reporter (except if it is a Bard Employee or FDA representative), including the names of:

- (1) Names of any doctor, nurse, intern, or employee of the reporter institution. This includes initials.
  - (2) Name of the hospital/institution.
  - (3) All geographic information including city, state, country, zip code, etc.
  - (4) Phone numbers, fax numbers, or pager numbers for the institution, doctor, etc.
  - (5) Email addresses, websites for the hospital, etc.
- Bard Employees are not redacted because not considered voluntary reporters
  - If reporter is an attorney or law firm subject to parties' stipulation regarding redaction, do not redaction, do not redact the attorney/law firm as the reporter.